

Docket No. 240579US6

DEC 31 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Anthony DIP et al.

SERIAL NO: 10/647,534

GAU: 2829

FILED: August 26, 2003

EXAMINER: UNKNOWN

FOR: MULTIPLE GROW-ETCH CYCLIC SURFACE TREATMENT FOR SUBSTRATE PREPARATION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

The applicant(s) wish to make of record the references listed on the attached form PTO-1449.  
 A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.  
 A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.  
 No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 of 1

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 240579US6YA	SERIAL NO. 10/647,534			
LIST OF REFERENCES CITED BY APPLICANT			APPLICANT Anthony DIP et al.				
			FILING DATE August 26, 2003	GROUP 2829			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,235,145	5/22/2001	Li et al.			
	AB	4,981,811	1/1/1991	Feygenson et al.			
	AC	5,294,568	3/15/1994	McNeilly et al.			
	AD	5,635,102	6/3/1997	Mehta			
	AE	5,658,417	8/19/1997	Watanabe et al.			
	AF	5,762,755	6/9/1998	McNeilly et al.			
	AG	5,783,495	7/21/1998	Li et al.			
	AH	6,024,888	2/15/2000	Watanabe et al.			
	AI	6,124,211	9/26/2000	Butterbaugh et al.			
	AJ	6,147,006	11/14/2000	Mouri et al.			
	AK	6,221,168	4/24/2001	Carter et al.			
	AL	4,749,440	6/7/1988	Blackwood et al.			
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
<input type="checkbox"/> Additional References sheet(s) attached							
Examiner				Date Considered			
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							